



**CCS Financial Services, Inc.**

Serving the Financial Industry Worldwide



W o r l d w i d e   A d v a n t a g e



e x p e r i e n c e

k n o w l e d g e

i n t e g r i t y

## The Added Value Advantage

The criteria used to choose an accounting firm, specifically for the financial industry, should be based on the expected qualities—experience, knowledge and integrity.

But when it comes to selecting an outstanding firm in which to trust your business, you want to go beyond the basics and look at the added values.





u n p a r a l l e l e d

s k i l l s   a n d

s e r v i c e

At CCS Financial you will work directly with some of the finest accountants and compliance consultants our industry has to offer. Ours are former National Futures Association auditors in the areas of finance, compliance and investigation, with over 15 years of business development experience in the futures and securities industry.

Whether it is a customized financial statement or a compliance program tailored to your specifications, CCS delivers unparalleled skills and services.

The Constellation Compliance System™ was developed to meet the needs of our clients. It is a tailor-made procedural manual that is expertly comprised to cover all of your compliance issues, including all tasks and schedules that are necessary to address any situation and regulation. Design and implementation is lead by our staff and monitored throughout our relationship.





c o n f i d e n t

s t r a t e g i c

t i m e l y

Whereas less-experienced firms might need to do extensive research to answer your questions, CCS Financial can usually deliver a confident answer immediately.

Our job does not stop at the compliance and accounting level. We will work with you on complete business development, supplying you with attorney referrals, due diligence reviews and assistance in your marketing program, as needed. No aspect of your business is overlooked.

Is timely response crucial to your effectiveness? CCS Financial, using integrated state-of-the-art software, creates a level of efficiency you're probably not used to, oftentimes turning reports around in 2-3 days, with the ability to deliver final product by e-mail, saving even more time.





i n t e r n a t i o n a l

c o m p e t i t i v e

i n n o v a t i v e

As an internationally-recognized boutique firm, CCS Financial delivers the personal touch, while you avoid the expense and inefficiencies of a larger firm. We are dedicated to the growth of our clients, keeping them out of harm's way and providing them with strategies that will anticipate the legal and market issues associated with the financial industry.

In a competitive marketplace, it is the details that separate the leaders and innovators from the rest of the pack.

Call us and discover for yourself how CCS Financial can address the unique needs of your firm and assist in your growth for years to come.



## Services

### Complete Fund Accounting

*For the entity which requires the highest quality:*

- Monthly Accounting Package
- Custom Financial Statements
- 2-3 Day Turnaround
- Tax Returns & K-1 Forms
- Performance Tables/Capsules
- Fee Calculations

### Performance Records

*So you can focus on trading, CCS Financial maintains these financial records for you:*

- Performance Records
- Individual Composite Performance Tables/Capsules
- Proforma Tables
- Hypothetical Tables
- Management & Incentive Fee Calculations

### Disclosure and Offering Document Requirements

*To keep you in compliance with existing rules and regulations, you receive:*

- Performance Tables/Capsules & Accompanying Footnotes
- Proforma Tables
- Due Diligence Reviews

### Tax Preparation

*CCS Financial offers expert tax consultation and preparation services on a timely basis for:*

- Limited Partnerships
- Corporations
- Limited Liability Corporations
- Limited Liability Partnerships

### Compliance Requirements

*To avoid costly compliance problems, we provide our Constellation Compliance System™ or any of these individual services:*

- Written Operating Procedures
- Review of Back Office Organization
- Review of Marketing Materials
- Written Replies to Regulatory Agencies
- Regulatory Filings

### Miscellaneous

*Make optimum use of your time by allowing CCS Financial to handle the numerous administrative, financial and compliance responsibilities that can burden your operation:*

- Due Diligence Reviews
- IB Accounting
- Broker/Dealer Accounting
- Tax Planning & Strategies

### Registration

*We will facilitate the painstaking registration with the NFA and continually ensure your compliance to allow you to function unimpeded.*

### Fund Development

*If you are planning a private, public or international offering, call CCS Financial for a consultation.*

### Offshore Consulting

*Offshore funds offer many advantages including fewer trading and regulatory restrictions and specific tax benefits. Our years of experience have produced many strong relationships with secure banks and trust companies. Our offshore services are done with complete client confidentiality.*

### Administration Services

- Offshore Fund Setup
- Complete Fund Accounting
- Auditor Relationships
- Organizational Counseling

### With Our Referral Base, We Can Facilitate With:

- Trust Services & Registrar
- Prospectus Preparation

## Testimonials

"There are not many firms that I would write a testimonial for. However, after working with CCS Financial for over five years, I feel compelled to strongly endorse their professional services. Because of their expertise and willingness to go above and beyond what we need them to do, we are expanding our relationship to include your overseeing our compliance department."

—Mark Helweg,  
Landmark Asset Management

"...you have not only been attentive in your services, but have handled my questions with kindness. For this uniqueness, I truly want to thank you. I have dealt with many accountants in the past and have not received the quality that CCS Financial gives."

—Ron Mark,  
Mark Capital Management, Inc.

"CCS's consistency, dependability and skills have made the interrelationship between Geopolis 5000 Global Fund and its individual clients a matter of ease. And on those occasions when NFA has paid us an audit visit, CCS has responded to our needs with speed and care."

—Neale Dobkin,  
Geopolis 5000, Inc.

"We selected CCS to help us establish our CPO, coordinate registration issues, review our procedures and compliance issues and to provide general business advice. Your team has been a virtual reservoir of information. Please feel free to use us as a reference for any firm considering your services."

—Andrew Putterman,  
Lydian Wealth Management



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